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### NOTICE OF ALLOWANCE AND FEE(S) DUE

50855

7590

03/29/2010

Tyco Healthcare Group LP 60 MIDDLETOWN AVENUE NORTH HAVEN, CT 06473 EXAMINER

NGUYEN, VI X

ART UNIT PAPER NUMBER

3731 DATE MAILED: 03/29/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/709.297	04/27/2004	John I. Shipp	H-US-01160 (203-6224)	3296

TITLE OF INVENTION: SURGERY DELIVERY DEVICE AND MESH ANCHOR

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	06/29/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of prailing or transmission.				
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							(Depositor's name)	
							(Signature)	
							(Date)	
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	:	ATTO	RNEY DOCKET NO.	CONFIRMATION NO.	
10/709,297	04/27/2004	•	John I. Shipp		H-US	-01160 (203-6224)	3296	
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nonprovisional	YES	\$755	\$300	\$0		\$1055	06/29/2010	
EXAM	IINER	ART UNIT	CLASS-SUBCLASS	7				
NGUYE	EN, VI X	3731	606-219000	J				
1. Change of corresponde	ence address or indicatio	n of "Fee Address" (37	2. For printing on the p	patent front page, list	:			
CFR 1.363).  Change of corresp	ondence address (or Cha	nge of Correspondence	(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to					
_	ondence address (or Cha B/122) attached.							
PTO/SB/47; Rev 03-0 Number is required.	lication (or "Fee Address" 22 or more recent) attach	d. Use of a Customer	2 registered attorney or a 2 registered patent attorney listed, no name will be	ornevs or agents. If r	s or u o nam	p to ne is 3		
3. ASSIGNEE NAME A	ND RESIDENCE DATA	A TO BE PRINTED ON	THE PATENT (print or ty	pe)				
PLEASE NOTE: Unl recordation as set fort	less an assignee is ident th in 37 CFR 3.11. Comp	fied below, no assignee letion of this form is NO	data will appear on the p T a substitute for filing an	atent. If an assigne assignment.	e is io	dentified below, the do	cument has been filed for	
(A) NAME OF ASSI	•		(B) RESIDENCE: (CITY	· ·	CUUC	TRY)		
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Please check the appropr	riate assignee category or	categories (will not be p	rinted on the patent):	Individual 🖵 Co	rporati	ion or other private grou	up entity Government	
4a. The following fee(s)	are submitted:	41	b. Payment of Fee(s): (Plea	ase first reapply an	y prev	viously paid issue fee s	hown above)	
☐ Issue Fee	No small entity discount r	permitted)	☐ A check is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached.					
Publication Fee (No small entity discount permitted) Advance Order - # of Copies			The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any					
			overpayment, to Depo	osit Account Number	r	(enclose an	extra copy of this form).	
a. Applicant claim	tus (from status indicated as SMALL ENTITY statu	s. See 37 CFR 1.27.	☐ b. Applicant is no lon					
NOTE: The Issue Fee an interest as shown by the	d Publication Fee (if requeecords of the United Sta	ired) will not be accepte tes Patent and Trademark	ed from anyone other than to Coffice.	the applicant; a regis	tered :	attorney or agent; or the	e assignee or other party in	
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Authorized Signature  Typed or printed name								
This collection of inform	nation is required by 37 C	FR 1 311. The information	on is required to obtain or	retain a benefit by th	e nub	lic which is to file (and	by the USPTO to process)	
an application. Confiden submitting the completed this form and/or suggesti	tiality is governed by 35 d application form to the ions for reducing this bu	U.S.C. 122 and 37 CFR USPTO. Time will vary den. should be sent to th	1.14. This collection is es depending upon the indivine Chief Information Office	timated to take 12 m vidual case. Any cor er. U.S. Patent and 1	ninutes nment Frader	s to complete, including ts on the amount of tim nark Office. U.S. Depa	g gathering, preparing, and be you require to complete rtment of Commerce, P.O.	
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/709,297	04/27/2004	John I. Shipp	H-US-01160 (203-6224) 3296		
50855	7590 03/29/2010		EXAMINER		
Tyco Healthcare	Group LP	NGUYEN, VI X			
60 MIDDLETOWN AVENUE NORTH HAVEN, CT 06473			ART UNIT	PAPER NUMBER	
			3731		
		DATE MAILED: 03/29/2010			

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 602 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 602 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)	
	10/709,297	SHIPP, JOHN I.	
Notice of Allowability	Examiner	Art Unit	
	Vistan V. Navissa	2724	
	Victor X. Nguyen	3731	
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED or other appropriate commater (IGHTS). This application is	in this application. If not included nunication will be mailed in due course.	
1. This communication is responsive to <u>2/11/2010</u> .			
2. The allowed claim(s) is/are <u>16-29</u> .			
<ol> <li>Acknowledgment is made of a claim for foreign priority u</li> <li>a) ☐ All b) ☐ Some* c) ☐ None of the:</li> </ol>	nder 35 U.S.C. § 119(a)-(d	or (f).	
<ol> <li>Certified copies of the priority documents have</li> </ol>	e been received.		
2. Certified copies of the priority documents have	e been received in Applicat	on No	
3. Copies of the certified copies of the priority do	ocuments have been receive	ed in this national stage application fron	n the
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		e a reply complying with the requireme	nts
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give			OF
5. CORRECTED DRAWINGS ( as "replacement sheets") mu	st be submitted.		
(a) ☐ including changes required by the Notice of Draftsper		ew ( PTO-948) attached	
1) ☐ hereto or 2) ☐ to Paper No./Mail Date	-		
(b) ☐ including changes required by the attached Examiner	=	or in the Office action of	
Paper No./Mail Date	o / anonamone / commone (	or in the emice adden or	
Identifying indicia such as the application number (see 37 CFR each sheet. Replacement sheet(s) should be labeled as such in			f
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT			
Attachment(s)	5 □ Notice of I	nformal Datant Application	
1. Notice of References Cited (PTO-892)		nformal Patent Application	
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	Paper No	Summary (PTO-413), ./Mail Date	
3. X Information Disclosure Statements (PTO/SB/08),	7. 🛛 Examiner'	s Amendment/Comment	
Paper No./Mail Date <u>2/11/2010</u> 4. ☐ Examiner's Comment Regarding Requirement for Deposit	8. 🛛 Examiner'	s Statement of Reasons for Allowance	
of Biological Material	9. 🗌 Other	<u>_</u> .	
/Victor X Nguyen/			
Examiner, Art Unit 3731			

1. An examiner's amendment to the record appears below. Should the changes and/or

additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR

1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the

payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with

Mr. Francesco Sardone on 3/22/2010.

The application has been amended as follows:

Claims 30-36 are canceled.

This Notice of Allowance is responsive to Applicant's Amendments filed on 2/11/2010.

The Amendments and Arguments, pages 6-15, filed therein have overcome the rejections of

claims 16, 23 under 35 USC 102(b) by Kuhns and Stein mailed on 11/17/2010. Therefore, the

rejections of the claims have been withdrawn.

**REASONS FOR ALLOWANCE** 

The following is an examiner's statement of reasons for allowance: Claim 16 has not

been rejected using prior art because no references, or reasonable combination thereof, could be

found which disclose or suggest a delivery device for delivering surgical anchors comprising: a

plurality of surgical anchors located within a delivery tube and radially about an anchor carrier,

each surgical anchor having a penetration section and a head section, an actuator having at

least two states, the first state causing the anchor carrier to be in its proximal-most position and

the second state causing the anchor carrier to be in the distal-most position with a perimeter of

Art Unit: 3731

the penetration section of the surgical anchor extending distally beyond the distal end of the delivery tube and being in contact with a target site; wherein the reciprocating anchor carrier with distal and proximal positions, wherein the distal end of the anchor carrier terminates in a tissue penetrator member, and wherein the reciprocating anchor carrier is moveable and proximally with respect to the delivery device, in combination with the rest of the claimed limitations as set forth in claim 16.

As to claim 23, the prior art does not teach the invention including two reactions members fixed in respect to a delivery tube, each of the at least two reactions members extend radially inward and distally from the inner surface of the delivery tube; wherein a reciprocating anchor carrier with distal and proximal positions, wherein the distal end of the anchor carrier terminates in a tissue penetrator member, and wherein the reciprocating anchor carrier is moveable and proximally with respect to the delivery device, in combination with the rest of the claimed limitations as set forth in claim 23.

2. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Victor X. Nguyen whose telephone number is (571) 272-4699. The examiner can normally be reached on M-F (8-4.30 P.M).

Application/Control Number: 10/709,297 Page 4

Art Unit: 3731

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, AnhTuan Nguyen can be reached on (571) 272-4963. The fax phone number for the

organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would

like assistance from a USPTO Customer Service Representative or access to the automated

information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Victor X Nguyen/

Examiner, Art Unit 3731

/Michael J Milano/

**Primary Examiner** 

for SPE of Art Unit 3731